



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,382	03/05/2002	Derek I. Finch	058937/0131

Marshall J. Brown
Foley & Lardner
Suite 3300
330 North Wabash Avenue
Chicago, IL 60611-3608



CONFIRMATION NO. 8455

FORMALITIES LETTER



OC000000007803907

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 04/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$450.
 - \$198 for 11 total claims over 20.
 - \$252 for 3 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1320.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/15/2002 AMONDAF1 00000066 10092382

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	198.00 OP
04 FC:102	252.00 OP

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A copy of this notice MUST be returned with the reply.

Roberta Lucio

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10092382:051302

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re the application of: Finch et al.

Serial No.: 10/092,382

Filed: March 5, 2002

FLUID CONNECTORS FOR
HEAT EXCHANGERS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

5/31/02

Date

Krisse Simon

Name

Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for completing the filing of the above-captioned patent application is:

1. One set of formal drawings (19 sheets);
2. Check in the amount of \$1320;
3. Return copy of the Notice to File Missing Parts of Non-provisional Application; and
4. Return postcard acknowledging receipt of the above items.

A check in the amount of \$1320 is enclosed to cover the surcharge for a large entity to file a Response to Notice of Missing Parts. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 06-1450 (duplicate of correspondence enclosed).

Respectfully submitted,

Date:

May 3, 2002

Marshall J. Brown

Marshall J. Brown

Registration No. 44,566

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